

★ THE 12-MONTH PLAYBOOK

From Compliance Officer to AI Risk Leader.

A month-by-month playbook for compliance professionals ready to lead AI governance — featuring the four-layer framework Priya used to redesign her bank's policy, and sample boardroom decks you can adapt this week.

12

MONTH ARC

4

FRAMEWORK LAYERS

2

SAMPLE DECKS

1

REAL BANK STORY

WHAT'S INSIDE

- 01** Inside the AI Compliance Toolkit — what you'll actually master
- 02** Priya's four-layer AI compliance framework, layer by layer
- 03** The 12-month skill arc: foundations → leading the framework
- 04** Sample boardroom deck: AI in financial compliance
- 05** Sample boardroom deck: generative AI for fraud detection
- 06** Your first 30 days as a future AI risk leader

CHAPTER 01

Inside the AI Compliance Toolkit

Three artifacts you'll be able to wield by the end of this playbook — each mapped to something boards and regulators are actively asking for right now in regulated industries.

01 The Four-Layer AI Compliance Framework — Priya's Playbook

The exact framework Priya used to redesign her bank's AI policy after a regulatory finding. Four stacked layers — Governance, Risk, Controls, and Assurance — adaptable to any regulated organization without starting from scratch.

02 Month-by-Month Skill Arc

A 12-month progression from foundational AI compliance to leading an enterprise AI risk framework. Each month names the skill you build, the artifact you produce, and the conversation you can suddenly hold with the CRO, CCO, or board.

03 Two Sample Boardroom Decks

Outlines for the two decks every AI risk leader gets asked to present in their first year: "AI in Financial Compliance" and "Generative AI for Fraud Detection." Slide-by-slide structure, talking points, and the chart each audience always asks for.

WHO THIS IS FOR

If you're already in compliance, this is your leverage.

**Mid-Career Compliance Officers**

3–10 years in compliance, audit, or risk who want a path beyond generalist roles.

**Bank & Fintech Risk Pros**

Already work in MRM, ops risk, or financial crime and want to own the AI portfolio.

**Aspiring Heads of AI Risk**

Senior managers building toward Head of AI Risk, AI Governance Lead, or CAIO-adjacent roles.

CHAPTER 02 · THE FRAMEWORK

The Four-Layer AI Compliance Framework

Priya, a compliance officer at a mid-size commercial bank, redesigned her institution's AI policy after a regulatory finding flagged "fragmented and reactive AI oversight." Below is the four-layer stack she presented to the Board Risk Committee — and the structure examiners visibly relaxed at when they saw it.

★ THE BACKSTORY

Why the bank needed a framework, not another policy.

Three model teams, three risk languages, and a regulator that asked one question: "Who owns AI risk here?" Priya's answer wasn't a longer policy document — it was a four-layer architecture that translated the same risks into governance, risk, control, and assurance terms. Within six months the framework became the bank's shared vocabulary.

LAYER 01

Governance

SETS DIRECTION · OWNS ACCOUNTABILITY

Establishes who decides what at every level — from board approval of the AI risk appetite to the AI Risk Committee charter and per-model accountable owners.

Artifacts: Board-approved AI risk appetite · AI Risk Committee charter · RACI for AI lifecycle · Tiered approval matrix

LAYER 02

Risk

IDENTIFIES · MEASURES · PRIORITIZES

Translates regulations, ethical principles, and business losses into a single AI risk taxonomy. Every model gets a tier; every tier maps to a control intensity.

Artifacts: AI risk taxonomy · Inherent & residual risk scoring · Model tiering rubric · Key risk indicator (KRI) catalog

LAYER 03

Controls

OPERATIONALIZES THE RISK VIEW

Turns abstract risks into specific, testable controls across the model lifecycle — data, design, deployment, and decommissioning.

Artifacts: Pre-deployment review checklist · Bias & performance monitoring · Human-in-the-loop standards · Vendor AI controls

LAYER 04

Assurance

PROVES THE FRAMEWORK WORKS

Independent testing, internal audit coverage, and regulator-ready reporting that closes the loop from board appetite back to evidence.

Artifacts: Annual AI risk attestation · Internal audit AI plan · Regulator briefing pack · Issue & remediation log

CHAPTER 03 · QUARTER 1

Months 1–4: Foundations & Fluency

The first third of the playbook builds vocabulary, credibility, and a working knowledge of the regulatory map. By Month 4 you should read any new AI regulation and tell a colleague what it actually means.

Month 1	Regulatory Mapping & AI Risk Vocabulary	~40 hrs
BUILD	DELIVER	
<ul style="list-style-type: none">▶ EU AI Act, NIST AI RMF, ISO 42001 — read & cross-map▶ Glossary of 50 AI risk & ML terms in your own words	<ul style="list-style-type: none">▶ One-page "AI regulatory landscape" for your firm▶ Identify three live obligations affecting your team	
Month 2	Model Lifecycle & the Three Lines of Defense	~40 hrs
BUILD	DELIVER	
<ul style="list-style-type: none">▶ Map the AI lifecycle stages your firm actually uses▶ Position your role within first / second / third line	<ul style="list-style-type: none">▶ RACI for one production model end-to-end▶ Identify the two weakest lifecycle handoffs	
Month 3	Data Governance, Privacy & Documentation	~45 hrs
BUILD	DELIVER	
<ul style="list-style-type: none">▶ DPIA, model cards, datasheets — produce one of each▶ Connect privacy obligations to model-level controls	<ul style="list-style-type: none">▶ Documentation standard for new AI use cases▶ Gap assessment of an existing high-risk model	
Month 4	Certification Foundations & Visibility	~50 hrs
BUILD	DELIVER	
<ul style="list-style-type: none">▶ Complete the GSDC AI Compliance certification syllabus▶ Publish two short pieces on internal channels	<ul style="list-style-type: none">▶ Certified — credential added to your profile▶ Volunteer to brief one stakeholder group on Q2 changes	

End-of-Quarter Checkpoint**Q1**

You're certified, fluent in the regulatory map, and seen internally as "the person who's actually keeping up with AI rules." That perception is the prerequisite for everything in Q2.

★ 50% OFF · FOUNDATIONS COHORT

Month 1 is where most playbooks die. Start with a deadline instead.

The GSDC AI Compliance certification is the anchor that makes the next 12 months structured instead of theoretical. Enroll while the half-off window is open.

[Claim 50% Off →](#)

CHAPTER 03 · QUARTER 2

Months 5–8: Operate, Influence, Lead Pilots

The middle of the playbook moves you from learning to operating. By Month 8 you should own at least one AI risk workstream end-to-end and be on the invite list when AI comes up at exec forums.

<p>Month 5</p> <p>BUILD</p> <ul style="list-style-type: none"> ▶ AI risk taxonomy + model tiering rubric (draft v1) ▶ Three KRIs your firm can actually monitor today 	<p>Operationalize the Risk Layer</p> <p>DELIVER</p> <ul style="list-style-type: none"> ▶ Tier 5 production models against the rubric ▶ Socialize the taxonomy with one model team 	<p>~40 hrs</p>
<p>Month 6</p> <p>BUILD</p> <ul style="list-style-type: none"> ▶ Pre-deployment review checklist tied to your tiers ▶ Bias & performance monitoring plan template 	<p>Controls & Testing — Pre-Deployment Reviews</p> <p>DELIVER</p> <ul style="list-style-type: none"> ▶ Run a review on one Tier-1 model — capture findings ▶ Issue first formal compliance memo to model owner 	<p>~45 hrs</p>
<p>Month 7</p> <p>BUILD</p> <ul style="list-style-type: none"> ▶ AI vendor due-diligence questionnaire (25 questions) ▶ Acceptable-use standard for generative AI internally 	<p>Vendor & Generative AI Risk</p> <p>DELIVER</p> <ul style="list-style-type: none"> ▶ Score one external LLM provider against your questionnaire ▶ Brief procurement & legal on the AI vendor process 	<p>~40 hrs</p>
<p>Month 8</p> <p>BUILD</p> <ul style="list-style-type: none"> ▶ Adapt the "AI in Financial Compliance" deck (Chapter 04) ▶ Define 4 metrics the AI Risk Committee will care about 	<p>First Boardroom-Adjacent Deck</p> <p>DELIVER</p> <ul style="list-style-type: none"> ▶ Present to an exec sub-committee or risk forum ▶ Capture one piece of senior feedback and act on it 	<p>~45 hrs</p>

Q2 End-of-Quarter Checkpoint

You now produce artifacts other functions reuse — a taxonomy, a checklist, a deck. Influence beats authority here; the framework spreads because it's useful, not because it's mandated.

OFFER VALID FOR 48 HOURS

A framework only travels as far as your credibility.

Cohorts running this playbook with a GSDC credential get peer review and grading against the same rubric examiners use. 48 hours left on the current intake.

[Enroll Before Cutoff →](#)

CHAPTER 03 · QUARTERS 3 & 4

Months 9–12: Lead the Framework

The final stretch is about ownership. By Month 12 you should be running a named AI risk workstream, presenting to the AI Risk Committee, and being mentioned in the conversation about who leads AI risk next.

<p>Month 9</p> <p>BUILD</p> <ul style="list-style-type: none"> ▶ Annual AI risk attestation outline for the CRO ▶ Coordinate with internal audit on AI coverage plan 	<p>Assurance & Audit Coverage</p> <p>DELIVER</p> <ul style="list-style-type: none"> ▶ One independent review of an existing AI control ▶ Issue & remediation log live for two model teams 	<p>~40 hrs</p>
<p>Month 10</p> <p>BUILD</p> <ul style="list-style-type: none"> ▶ Regulator briefing pack — 8 slides, one page of FAQ ▶ Three "what we'd say if asked tomorrow" talking points 	<p>Regulator-Ready Reporting & Talking Points</p> <p>DELIVER</p> <ul style="list-style-type: none"> ▶ Walk-through with legal & the CCO before any real ask ▶ Mock examiner Q&A with two peers 	<p>~40 hrs</p>
<p>Month 11</p> <p>BUILD</p> <ul style="list-style-type: none"> ▶ Adapt the "GenAI for Fraud Detection" deck (Chapter 05) ▶ Define guardrails for two specific GenAI use cases 	<p>Generative AI & Emerging Use Cases</p> <p>DELIVER</p> <ul style="list-style-type: none"> ▶ Present GenAI risks to the AI Risk Committee ▶ Sponsor one pilot review and own the sign-off 	<p>~45 hrs</p>
<p>Month 12</p> <p>BUILD</p> <ul style="list-style-type: none"> ▶ One-page "AI risk operating model" for the CRO/CCO ▶ 12-month evidence pack of artifacts you delivered 	<p>Position for Leadership</p> <p>DELIVER</p> <ul style="list-style-type: none"> ▶ Ask for the AI risk leadership remit explicitly ▶ Or take it to market with a credentialed track record 	<p>~40 hrs</p>

Q4 End-of-Year Outcome You're either internally promoted into the AI risk leadership role, or externally hired into one — with a portfolio that proves you ran the framework, not just read about it.

★ RELATED · LEADERSHIP CREDENTIAL

Leaders carry credentials. Operators carry tickets.

The fastest way to be considered for AI risk leadership is to be the person in the room with the recognized credential. Add the GSDC AI Compliance certification to your profile.

[Get Certified →](#)

CHAPTER 04 · SAMPLE DECK

AI in Financial Compliance — Boardroom Deck

A nine-slide outline you can adapt for your firm's Board Risk Committee or AI Risk Committee. Structure first, words second — every slide below names the question the board is actually asking.

Deck Outline · ~25 minutes including Q&A

9 slides

SLIDE 01**Why this discussion, why now**

One regulatory trigger, one business trigger, one peer-bank example. End with the decision being asked of the board today.

SLIDE 02**Our AI footprint at a glance**

One chart: models in production by line of business and tier. The board's first question is always "how exposed are we?" Answer visually.

SLIDE 03**Regulatory landscape that applies to us**

EU AI Act, model-risk guidance, fair-lending rules, privacy obligations. Show what's in force vs. what's on the horizon.

SLIDE 04**The four-layer framework, named**

Governance, Risk, Controls, Assurance — one line each, accountabilities named. This is the slide examiners photograph.

SLIDE 05**Where we are today vs. where we need to be**

Honest heat-map across the four layers. Reds and ambers are credibility builders — don't make the slide all green.

SLIDE 06**Three risks that keep us up at night**

Specific, named, with the dollar or reputational cost. Pick ones the board can quote back at the next meeting.

SLIDE 07**12-month plan and resourcing ask**

Four to six initiatives mapped to framework layers, with FTE and budget asks. Force a decision, don't tour the work.

SLIDE 08**Key risk indicators we will report quarterly**

Four to six KRIs with thresholds. Boards remember what gets reported repeatedly more than what gets explained once.

SLIDE 09**Decisions requested today**

Three crisp asks. Approve the framework. Approve resourcing. Endorse the KRI set. End with the next checkpoint date.

Presenter tip: Boards reward clarity over completeness. If you only have eight minutes, present slides 1, 4, 5, and 9. The rest is appendix until they pull you toward it.

★ RELATED · PRESENTATION-READY

A deck without a credential is just a deck.

Boards weigh the messenger as much as the message. The GSDC AI Compliance credential is what makes the four-layer framework land as expertise instead of opinion.

[Start the Certification →](#)

CHAPTER 05 · SAMPLE DECK

Generative AI for Fraud Detection — Boardroom Deck

A ten-slide outline for the conversation every bank, fintech, and insurer is having right now: "Should we use generative AI in our fraud stack — and if yes, how do we govern it?"

Deck Outline · ~30 minutes including Q&A

10 slides

SLIDE 01

The fraud problem we're trying to solve

Loss trend over 18 months, one customer story, one regulatory expectation. Anchor in pain before solution.

SLIDE 02

Where GenAI fits in the fraud stack

Detection, investigator copilot, customer comms, synthetic data for testing. Be specific about use cases — not generic.

SLIDE 03

What GenAI does well — and where it fails

Pattern recognition and narrative synthesis are strengths. Hallucination, drift, prompt injection, explainability are weaknesses.

SLIDE 04

Risk taxonomy applied to fraud GenAI

Map our AI risk taxonomy to fraud-specific risks: false positives, customer harm, adversarial prompts, vendor concentration.

SLIDE 05

Tiering decision — high vs. low risk

Investigator copilot (lower) vs. customer-facing fraud decisions (higher). Show the tier and the control intensity that follows.

SLIDE 06

Proposed controls — pre-deployment

Use-case approval, prompt & output testing, red-team simulation, vendor due diligence, human-in-the-loop boundaries.

SLIDE 07

Proposed controls — in production

Drift monitoring, hallucination rate sampling, customer-complaint feedback loop, escalation thresholds, kill-switch criteria.

SLIDE 08

Pilot proposal & success metrics

90-day pilot, narrow use case, named owner, three success metrics, two stop conditions. Boards approve pilots; they hesitate on rollouts.

SLIDE 09

What we'll learn — and what we won't

Set expectations early. A pilot answers operational questions, not strategic ones. Name the second decision the pilot enables.

SLIDE 10

Decisions requested today

Approve the pilot, the risk appetite for fraud GenAI, and the next checkpoint date and quorum. Three crisp asks.

Presenter tip: Boards say yes to GenAI pilots when stop conditions are clear. Spend 60 seconds on slide 8's two stop conditions — that's where the room actually decides.

★ 50% OFF · GENAI-READY

GenAI risk is the file no one wants — and the file that promotes you.

Pick up the file with the right vocabulary. The GSDC AI Compliance certification covers GenAI controls, drift, and red-teaming. Half off this cohort.

[Claim 50% Off →](#)

CHAPTER 06

Your First 30 Days as a Future AI Risk Leader

A 12-month playbook is intimidating. A 30-day list isn't. Here's the smallest version of Month 1 — eight specific moves you can make this month to make the next eleven inevitable.

YOUR MONTH-1 MOVES

- ✓ **Week 1:** Block 8 hours/week on your calendar for the next year
- ✓ **Week 1:** Enroll in the GSDC AI Compliance certification
- ✓ **Week 2:** Read EU AI Act Articles 5, 6, 9 and NIST AI RMF Govern
- ✓ **Week 2:** Draft a one-page glossary in your own words
- ✓ **Week 3:** Inventory the AI use cases your firm runs today
- ✓ **Week 3:** Identify the three weakest controls and name them
- ✓ **Week 4:** Ask your CCO/CRO for 20 minutes on AI risk priorities
- ✓ **Week 4:** Publish one short internal note on what you're seeing

LIMITED TIME OFFER

The playbook is free. The seat in the cohort isn't.

You've read the arc. The only step that compounds over twelve months is this one — enrolling in the certification while seats are open.

[Enroll Now →](#)**★ 50% OFF · ANNUAL LEARNERS**

A year of self-study, or a year with a credential at the end of it.

The same twelve months, only one of them ends with a credential a CRO and a regulator both recognize. Half off this cohort, not the next.

[Get the 50% Off →](#)**OFFER VALID FOR 48 HOURS**

A year from now, you're either leading AI risk — or still reporting on it.

The next intake closes in 48 hours. Half off, full curriculum, every deliverable above mapped to a graded module. Choose the version of the next twelve months that ends with a seat at the table.

[START THE 12 MONTHS →](#)